



# TCP Tuning Techniques for High-Speed Wide-Area Networks

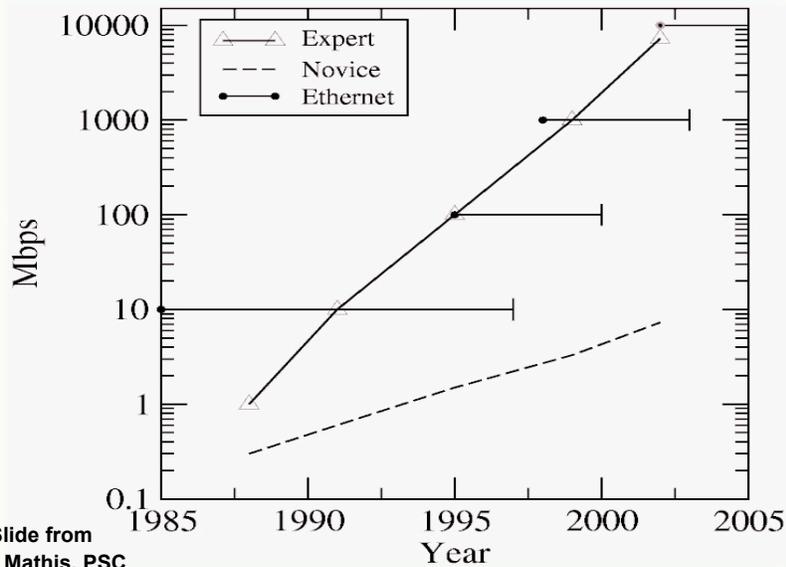
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<http://gridmon.dl.ac.uk/nfn/>



## Wizard Gap



Slide from  
Matt Mathis, PSC

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Slide: 2



## Today's Talk

### ◆ This talk will cover:

- Information needed to be a “wizard”
- Current work being done so you don't have to be a wizard

### ◆ Outline

- TCP Overview
- TCP Tuning Techniques (focus on Linux)
- TCP Issues
- Network Monitoring Tools
- Current TCP Research

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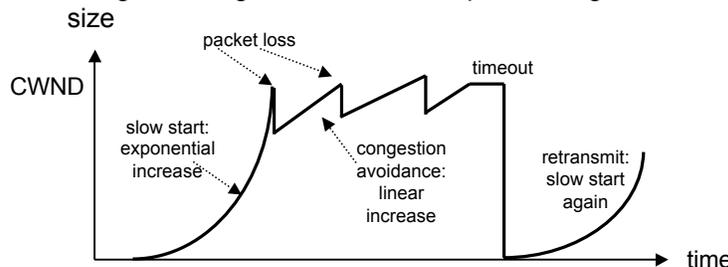
## How TCP works: A very short overview

### ◆ Congestion window (CWND) = the number of packets the sender is allowed to send

- The larger the window size, the higher the throughput
  - $\text{Throughput} = \text{Window size} / \text{Round-trip Time}$

### ◆ TCP Slow start

- exponentially increase the congestion window size until a packet is lost
  - this gets a rough estimate of the optimal congestion window size



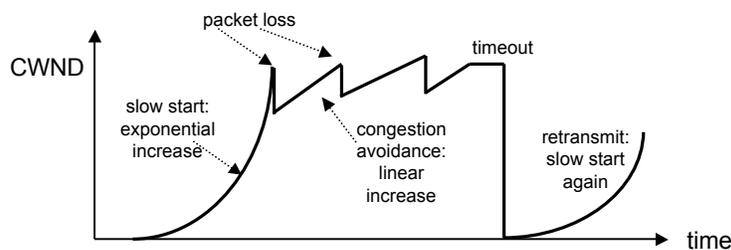
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## TCP Overview

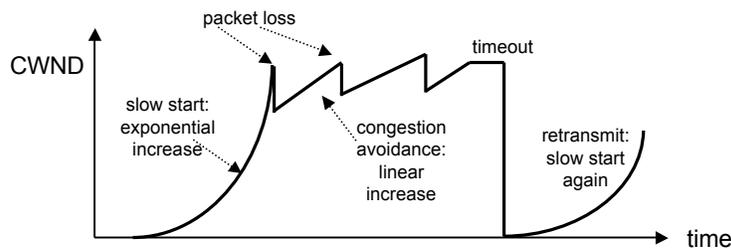
### ◆ Congestion avoidance

- additive increase: starting from the rough estimate, linearly increase the congestion window size to probe for additional available bandwidth
- multiplicative decrease: cut congestion window size aggressively if a timeout occurs



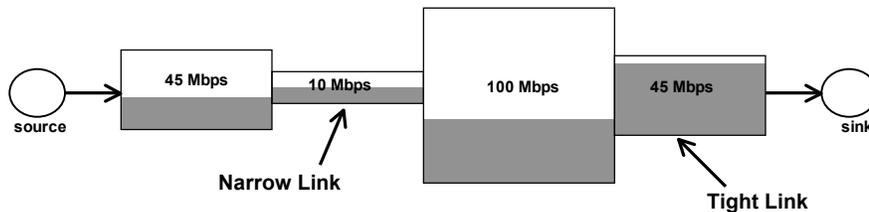
## TCP Overview

- ◆ Fast Retransmit: retransmit after 3 duplicate acks (got 3 additional packets without getting the one you are waiting for)
  - this prevents expensive timeouts
  - no need to go into "slow start" again
- ◆ At steady state, CWND oscillates around the optimal window size
- ◆ With a retransmission timeout, slow start is triggered again



## Terminology

- ◆ The term “Network Throughput” is vague and should be avoided
  - Capacity: link speed
    - Narrow Link: link with the lowest capacity along a path
    - Capacity of the end-to-end path = capacity of the narrow link
  - Utilized bandwidth: current traffic load
  - Available bandwidth: capacity – utilized bandwidth
    - Tight Link: link with the least available bandwidth in a path
  - Achievable bandwidth: includes protocol and host issues



## More Terminology

- ◆ RTT: Round-trip time
- ◆ Bandwidth\*Delay Product = BDP
  - The number of bytes in flight to fill the entire path
  - Example: 100 Mbps path; ping shows a 75 ms RTT
    - $BDP = 100 * 0.075 / 2 = 3.75 \text{ Mbits (470 KB)}$
- ◆ LFN: Long Fat Networks
  - A network with a large BDP



## TCP Performance Tuning Issues

- ◆ Getting good TCP performance over high-latency high-bandwidth networks is not easy!
- ◆ You must keep the pipe full, and the size of the pipe is directly related to the network latency
  - Example: from LBNL (Berkeley, CA) to ANL (near Chicago, IL), the *narrow link* is 1000 Mb/s, and the one-way latency is 25ms
  - Need  $(1000 / 8) * .025 \text{ sec} = 3.125 \text{ MBytes}$  of data “in flight” to fill the pipe

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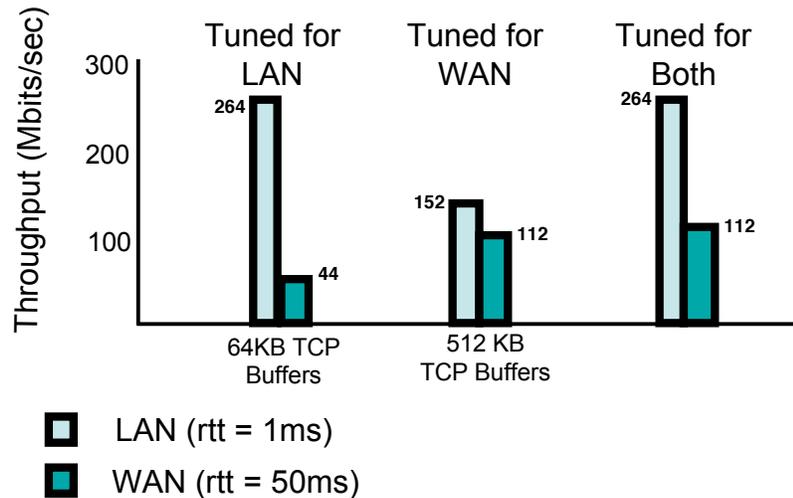
## Setting the TCP buffer sizes

- ◆ It is critical to use the optimal TCP send and receive socket buffer sizes for the link you are using.
  - Recommended size =  $2 \times \text{Bandwidth Delay Product (BDP)}$
  - if too small, the TCP window will never fully open up
  - if too large, the sender can overrun the receiver, and the TCP window will shut down
- ◆ Default TCP buffer sizes are way too small for this type of network
  - default TCP send/receive buffers are typically 64 KB
  - with default TCP buffers, you can only get a small % of the available bandwidth!

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## Importance of TCP Tuning



## TCP Buffer Tuning: System

- ◆ Need to adjust system max TCP buffer
  - Example: in Linux (2.4 and 2.6) add the entries below to the file /etc/sysctl.conf, and then run "sysctl -p"

```
# increase TCP max buffer size
net.core.rmem_max = 16777216
net.core.wmem_max = 16777216
# increase Linux autotuning TCP buffer limits
# min, default, and max number of bytes to use
net.ipv4.tcp_rmem = 4096 87380 16777216
net.ipv4.tcp_wmem = 4096 65536 16777216
```

- ◆ Similar changes needed for other Unix OS's
- ◆ For more info, see: <http://dsd.lbl.gov/TCP-Tuning/>



## TCP Buffer Tuning: Application

- ◆ Must adjust buffer size in your applications:

```
int skt, int sndsize = 2 * 1024 * 1024;
err = setsockopt(skt, SOL_SOCKET, SO_SNDBUF,
                (char *)&sndsize, (int)sizeof(sndsize));
```

and/or

```
err = setsockopt(skt, SOL_SOCKET, SO_RCVBUF,
                (char *)&sndsize, (int)sizeof(sndsize));
```

- ◆ It's a good idea to check the following:

```
err = getsockopt(skt, SOL_SOCKET, SO_RCVBUF,
                (char *)&sockbufsize, &size);
If (size != sndsize)
printf(stderr, "Warning: requested TCP buffer of %d,
but only got %d \n", sndsize, size);
```

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## Determining the Buffer Size

- ◆ The optimal buffer size is twice the bandwidth\*delay product of the link:

**buffer size = 2 \* bandwidth \* delay**

- ◆ The ping program can be used to get the delay

```
■ e.g.: >ping -s 1500 lxplus.cern.ch
1500 bytes from lxplus012.cern.ch: icmp_seq=0. time=175. ms
1500 bytes from lxplus012.cern.ch: icmp_seq=1. time=176. ms
1500 bytes from lxplus012.cern.ch: icmp_seq=2. time=175. ms
```

- ◆ *pipechar* or *pathrate* can be used to get the bandwidth of the slowest hop in your path. (see next slides)
- ◆ Since ping gives the round trip time (RTT), this formula can be used instead of the previous one:

**buffer size = bandwidth \* RTT**

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## Buffer Size Example

- ◆ ping time = 50 ms
- ◆ Narrow link = 500 Mbps (62 Mbytes/sec)
  - e.g.: the end-to-end network consists of all 1000 BT ethernet and OC-12 (622 Mbps)
- ◆ TCP buffers should be:
  - $.05 \text{ sec} * 62 = 3.1 \text{ Mbytes}$

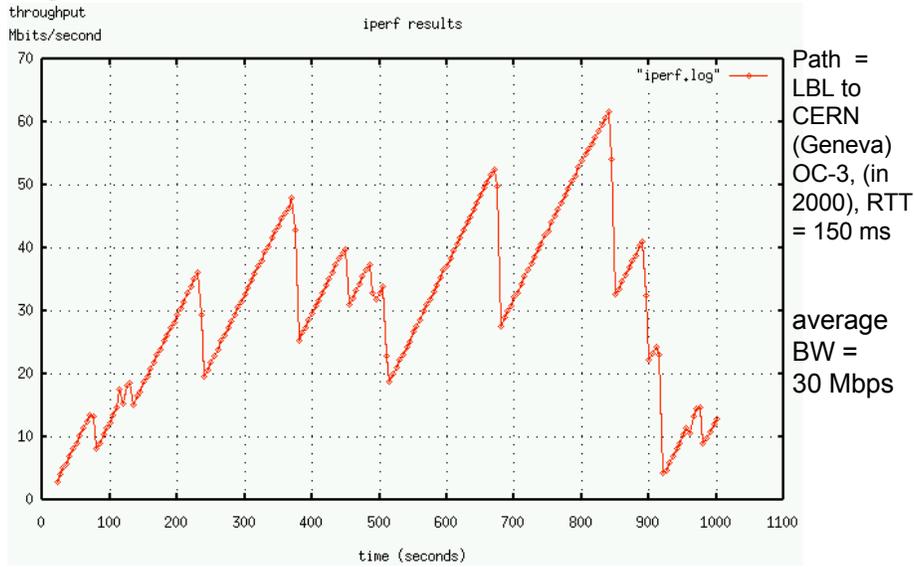


## Sample Buffer Sizes

- ◆ UK to...
  - UK (RTT = 5 ms, narrow link = 1000 Mbps) : 625 KB
  - Europe: (RTT = 25 ms, narrow link = 500 Mbps): 1.56 MB
  - US: (RTT = 150 ms, narrow link = 500 Mbps): 9.4 MB
  - Japan: (RTT = 260, narrow link = 150 Mbps): 4.9 MB
- ◆ Note: default buffer size is usually only 64 KB, and default maximum buffer size for is only 256KB
  - Linux Autotuning default max = 128 KB;
- ◆ 10-150 times too small!
- ◆ Home DSL, UK to US (RTT = 150, narrow link = 1 Mbps): 19 KB
  - Default buffers are OK.



## More Problems: TCP congestion control

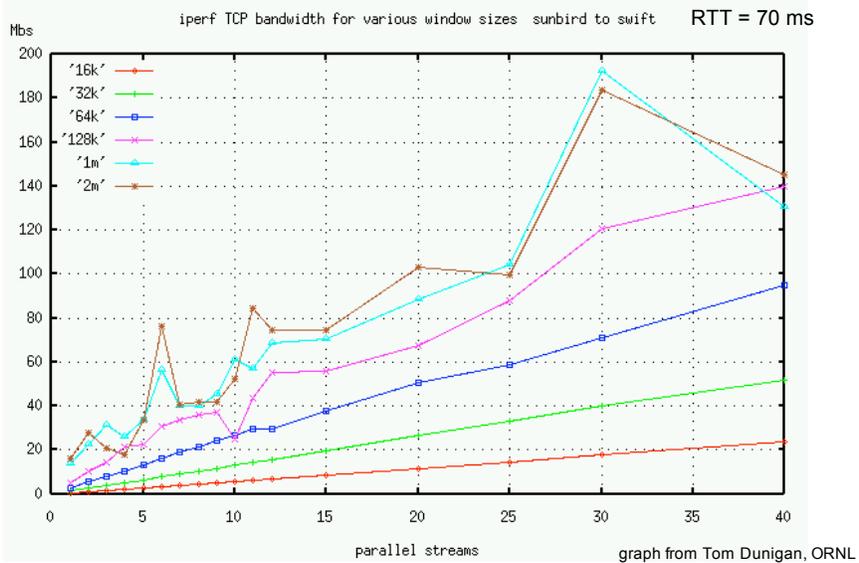


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Slide: 17



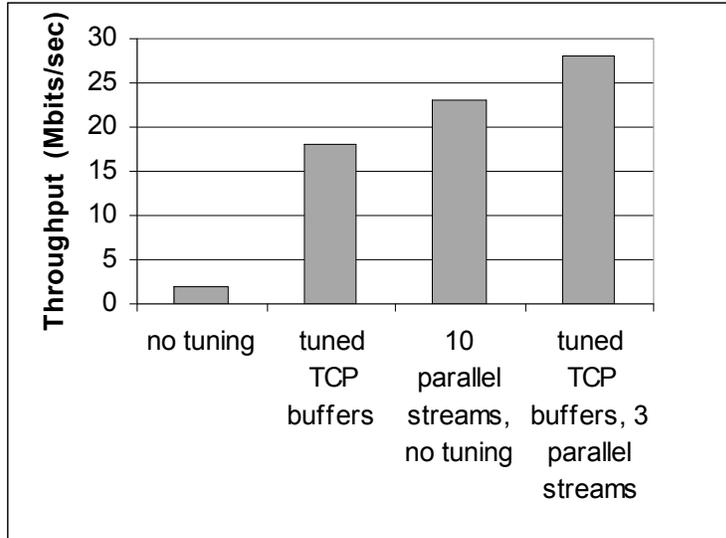
## Work-around: Use Parallel Streams



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## Tuned Buffers vs. Parallel Steams

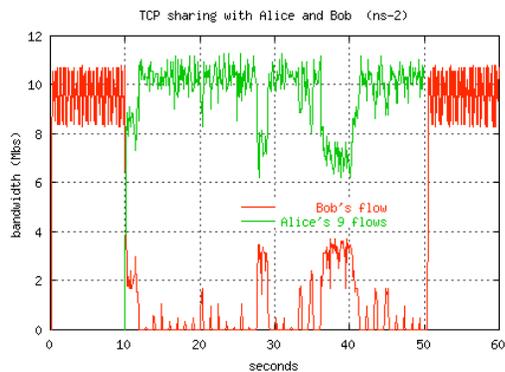


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## Parallel Streams Issues

- ◆ Potentially unfair
- ◆ Places more load on the end hosts
- ◆ But they are necessary when you don't have root access, and can't convince the sysadmin to increase the max TCP buffers



graph from Tom Dunigan, ORNL

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## Network Monitoring Tools

<http://gridmon.dl.ac.uk/nfnn/>



## traceroute

```
>traceroute pcgiga.cern.ch
```

```
traceroute to pcgiga.cern.ch (192.91.245.29), 30 hops max, 40 byte packets
 1  iri100gw-r2.lbl.gov (131.243.2.1)  0.49 ms  0.26 ms  0.23 ms
 2  erl100gw.lbl.gov (131.243.128.5)  0.68 ms  0.54 ms  0.54 ms
 3  198.129.224.5 (198.129.224.5)  1.00 ms  *d9*  1.29 ms
 4  lbl2-ge-lbnl.es.net (198.129.224.2)  0.47 ms  0.59 ms  0.53 ms
 5  snv-lbl-oc48.es.net (134.55.209.5)  57.88 ms  56.62 ms  61.33 ms
 6  chi-s-snv.es.net (134.55.205.102)  50.57 ms  49.96 ms  49.84 ms
 7  arl-chicago-esnet.cern.ch (198.124.216.73)  50.74 ms  51.15 ms  50.96
   ms
 8  cernh9-pos100.cern.ch (192.65.184.34)  175.63 ms  176.05 ms  176.05
   ms
 9  cernh4.cern.ch (192.65.185.4)  175.92 ms  175.72 ms  176.09 ms
10  pcgiga.cern.ch (192.91.245.29)  175.58 ms  175.44 ms  175.96 ms
```

Can often learn about the network from the router names:

ge = Gigabit Ethernet

oc48 = 2.4 Gbps (oc3 = 155 Mbps, oc12=622 Mbps)



## Iperf

- ◆ iperf : very nice tool for measuring end-to-end TCP/UDP performance
    - <http://dast.nlanr.net/Projects/Iperf/>
    - Can be quite intrusive to the network
  - ◆ Example:
    - Server: iperf -s -w 2M
    - Client: iperf -c hostname -i 2 -t 20 -l 128K -w 2M
- ```
Client connecting to hostname
[ ID] Interval  Transfer  Bandwidth
[  3] 0.0- 2.0 sec 66.0 MBytes 275 Mbits/sec
[  3] 2.0- 4.0 sec 107 MBytes 451 Mbits/sec
[  3] 4.0- 6.0 sec 106 MBytes 446 Mbits/sec
[  3] 6.0- 8.0 sec 107 MBytes 443 Mbits/sec
[  3] 8.0-10.0 sec 106 MBytes 447 Mbits/sec
[  3] 10.0-12.0 sec 106 MBytes 446 Mbits/sec
[  3] 12.0-14.0 sec 107 MBytes 450 Mbits/sec
[  3] 14.0-16.0 sec 106 MBytes 445 Mbits/sec
[  3] 16.0-24.3 sec 58.8 MBytes 59.1 Mbits/sec
[  3] 0.0-24.6 sec 871 MBytes 297 Mbits/sec
```

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## pathrate / pathload

- ◆ Nice tools from Georgia Tech:
  - pathrate: measures the capacity of the narrow link
  - pathload: measures the available bandwidth
- ◆ Both work pretty well.
  - pathrate can take a long time (up to 20 minutes)
  - These tools attempt to be non-intrusive
- ◆ Open Source; available from:
  - <http://www.pathrate.org/>

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Slide: 24



## pipechar

- ◆ Tool to measure hop-by-hop available bandwidth, capacity, and congestion
- ◆ Takes 1-2 minutes to measure an 8 hop path
- ◆ client-side only tool: puts very little load on the network (about 100 Kbits/sec)
- ◆ But not always accurate
  - Results affected by host speed
    - Hard to measure links faster than host interface
  - Results after a slow hop typically not accurate, for example, if the first hop is a wireless link, and all other hops are 100 BT or faster, then results are not accurate
- ◆ Available from: <http://dsd.lbl.gov/NCS/>
  - part of the *netest* package

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Slide: 25



## pipechar output

```
dps1x04.lbl.gov(59)>pipechar firebird.ccs.ornl.gov
PipeChar statistics: 82.61% reliable
From localhost:      827.586 Mbps  GigE (1020.4638 Mbps)
1: ir100gw-r2.lbl.gov      (131.243.2.1 )
|      1038.492 Mbps  GigE      <11.2000% BW used>
2: er100gw.lbl.gov        (131.243.128.5)
|      1039.246 Mbps  GigE      <11.2000% BW used>
3: lb12-ge-lbnl.es.net    (198.129.224.2)
|      285.646 Mbps  congested bottleneck <71.2000% BW used>
4: snv-lbl-oc48.es.net    (134.55.209.5)
|      9935.817 Mbps  OC192    <94.0002% BW used>
5: orn-s-snv.es.net      (134.55.205.121)
|      341.998 Mbps  congested bottleneck <65.2175% BW used>
6: ornl-orn.es.net       (134.55.208.62)
|      298.089 Mbps  congested bottleneck <70.0007% BW used>
7: orgwy-ext.ornl.gov    (192.31.96.225)
|      339.623 Mbps  congested bottleneck <65.5502% BW used>
8: ornlgyw-ext.ens.ornl.gov (198.124.42.162)
|      232.005 Mbps  congested bottleneck <76.6233% BW used>
9: ccsrtr.ccs.ornl.gov   (160.91.0.66 )
|      268.651 Mbps  GigE (1023.4655 Mbps)
10: firebird.ccs.ornl.gov (160.91.192.165)
```

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Slide: 26



## tcpdump / tcptrace

- ◆ tcpdump: dump all TCP header information for a specified source/destination
  - <ftp://ftp.ee.lbl.gov/>
  
- ◆ tcptrace: format tcpdump output for analysis using xplot
  - <http://www.tcptrace.org/>
  - NLANR TCP Testrig : Nice wrapper for tcpdump and tcptrace tools
    - <http://www.ncne.nlanr.net/TCP/testrig/>
  
- ◆ Sample use:

```
tcpdump -s 100 -w /tmp/tcpdump.out host hostname
tcptrace -S1 /tmp/tcpdump.out
xplot /tmp/a2b_tsg.xpl
```

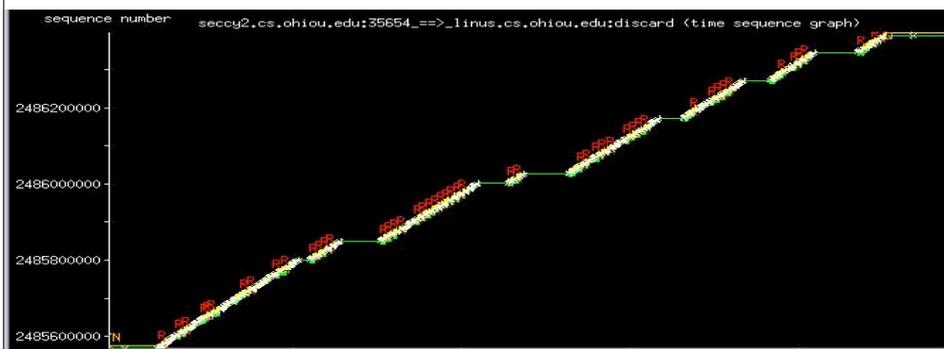
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Slide: 27



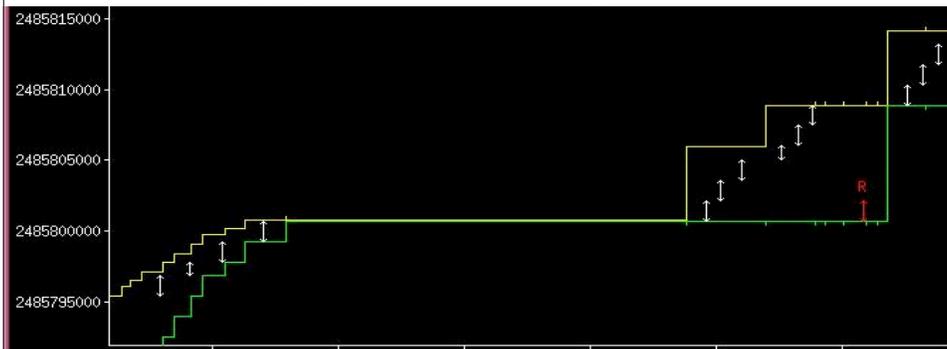
## tcptrace and xplot

- ◆ X axis is time
- ◆ Y axis is sequence number
- ◆ the slope of this curve gives the throughput over time.
- ◆ xplot tool make it easy to zoom in



## Zoomed In View

- ◆ **Green Line:** ACK values received from the receiver
- ◆ **Yellow Line** tracks the receive window advertised from the receiver
- ◆ **Green Ticks** track the duplicate ACKs received.
- ◆ **Yellow Ticks** track the window advertisements that were the same as the last advertisement.
- ◆ **White Arrows** represent segments sent.
- ◆ **Red Arrows (R)** represent retransmitted segments



## Other Tools

- ◆ **NLANR Tools Repository:**
  - <http://www.ncne.nlanr.net/software/tools/>
- ◆ **SLAC Network Monitoring Tools List:**
  - <http://www.slac.stanford.edu/xorg/nmtf/nmtf-tools.html>



## Other TCP Issues

### ◆ Things to be aware of:

#### ■ TCP slow-start

- On a path with a 50 ms RTT, it takes 12 RTT's to ramp up to full window size, so need to send about 10 MB of data before the TCP congestion window will fully open up.

#### ■ host issues

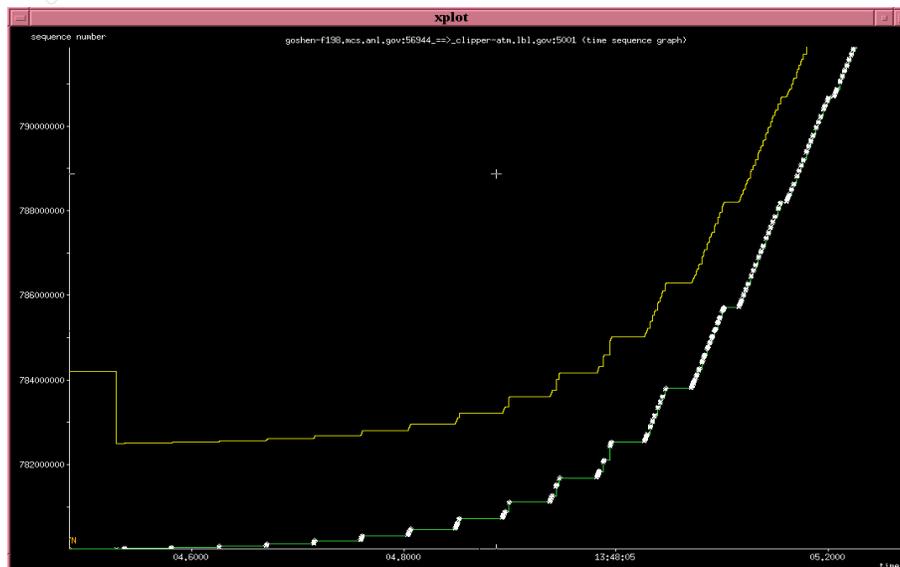
- Memory copy speed
- I/O Bus speed
- Disk speed

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## TCP Slow Start



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## Duplex Mismatch Issues

- ◆ A common source of trouble with Ethernet networks is that the host is set to full duplex, but the Ethernet switch is set to half-duplex, or visa versa.
- ◆ Most newer hardware will auto-negotiate this, but with some older hardware, auto-negotiation sometimes fails
  - result is a working but very slow network (typically only 1-2 Mbps)
  - best for both to be in full duplex if possible, but some older 100BT equipment only supports half-duplex
- ◆ NDT is a good tool for finding duplex issues:
  - <http://e2epi.internet2.edu/ndt/>

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## Jumbo Frames

- ◆ Standard Ethernet packet is 1500 bytes (aka: MTU)
- ◆ Some gigabit Ethernet hardware supports “jumbo frames” (jumbo packet) up to 9 KBytes
  - This helps performance by reducing the number of host interrupts
  - Some jumbo frame implementations do not interoperate
  - Most routers allow at most 4K MTUs
- ◆ First Ethernet was 3 Mbps (1972)
- ◆ First 10 Gbit/sec Ethernet hardware: 2001
  - Ethernet speeds have increased 3000x since the 1500 byte frame was defined
  - Computers now have to work 3000x harder to keep the network full

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## Linux Autotuning

- ◆ Sender-side TCP buffer autotuning introduced in Linux 2.4
  - TCP send buffer starts at 64 KB
  - As the data transfer takes place, the buffer size is continuously re-adjusted up max autotune size (default = 128K)
- ◆ Need to increase defaults: (in /etc/sysctl.conf)

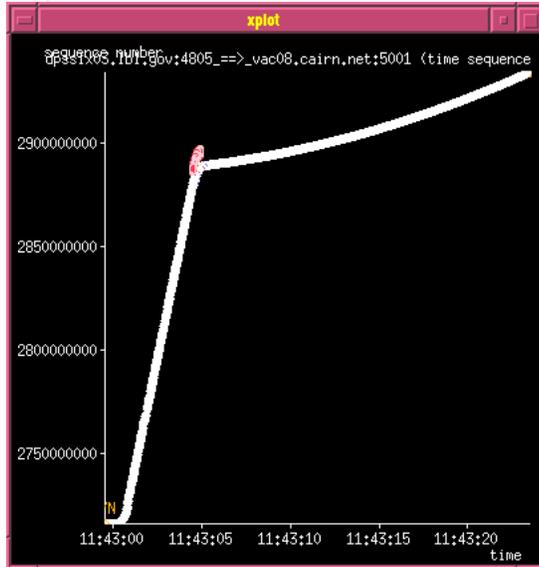
```
# increase TCP max buffer size
net.core.rmem_max = 16777216
net.core.wmem_max = 16777216
# increase Linux autotuning TCP buffer limits
# min, default, and max number of bytes to use
net.ipv4.tcp_rmem = 4096 87380 16777216
net.ipv4.tcp_wmem = 4096 65536 16777216
```

- ◆ Receive buffers need to be bigger than largest send buffer used
  - Use `setsockopt()` call

## Linux 2.4 Issues

- ◆ *ssthresh* caching
  - *ssthresh* (Slow Start Threshold): size of CWND to use when switching from exponential increase to linear increase
  - The value for *ssthresh* for a given path is cached in the routing table.
  - If there is a retransmission on a connection to a given host, then all connections to that host for the next 10 minutes will use a reduced *ssthresh*.
  - Or, if the previous connect to that host is particularly good, then you might stay in slow start longer, so it depends on the path
  - The only way to disable this behavior is to do the following before all new connections (you must be root):
    - `sysctl -w net.ipv4.route.flush=1`
  - The web100 kernel patch adds a mechanism to permanently disable this behavior:
    - `sysctl -w net.ipv4.web100_no_metrics_save = 1`

## ssthresh caching



- The value of CWND when this loss happened will get cached

## Linux 2.4 Issues (cont.)

- ◆ SACK implementation problem
  - For very large BDP paths where the TCP window is > 20 MB, you are likely to hit the Linux SACK implementation problem.
  - If Linux has too many packets in flight when it gets a SACK event, it takes too long to locate the SACKed packet,
    - you get a TCP timeout and CWND goes back to 1 packet.
  - Restricting the TCP buffer size to about 12 MB seems to avoid this problem, but limits your throughput.
  - Another solution is to disable SACK.
 

```
sysctl -w net.ipv4.tcp_sack = 0
```
  - This is still a problem in 2.6, but they are working on a solution
- ◆ Transmit queue overflow
  - If the interface transmit queue overflows, the Linux TCP stack treats this as a retransmission.
  - Increasing `txqueuelen` can help:
 

```
ifconfig eth0 txqueuelen 1000
```



## Recent/Current TCP Work

<http://gridmon.dl.ac.uk/nfnn/>



## TCP Response Function

- ◆ Well known fact that TCP does not scale to high-speed networks
- ◆ Average TCP congestion window =  $1.2/\sqrt{p}$  segments
  - $p$  = packet loss rate
- ◆ What this means:
  - For a TCP connection with 1500-byte packets and a 100 ms round-trip time, filling a 10 Gbps pipe would require a congestion window of 83,333 packets, and a packet drop rate of at most one drop every 5,000,000,000 packets.
  - requires at **most** one packet loss every 6000s, or 1h:40m to keep the pipe full



## Proposed TCP Modifications

- ◆ High Speed TCP: Sally Floyd
  - <http://www.icir.org/floyd/hstcp.html>
- ◆ BIC/CUBIC:
  - <http://www.csc.ncsu.edu/faculty/rhee/export/bitcp/>
- ◆ LTCP (Layered TCP)
  - <http://students.cs.tamu.edu/sumitha/research.html>
- ◆ HTCP: (Hamilton TCP)
  - <http://www.hamilton.ie/net/htcp/>
- ◆ Scalable TCP
  - <http://www-lce.eng.cam.ac.uk/~ctk21/scalable/>

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Slide: 41

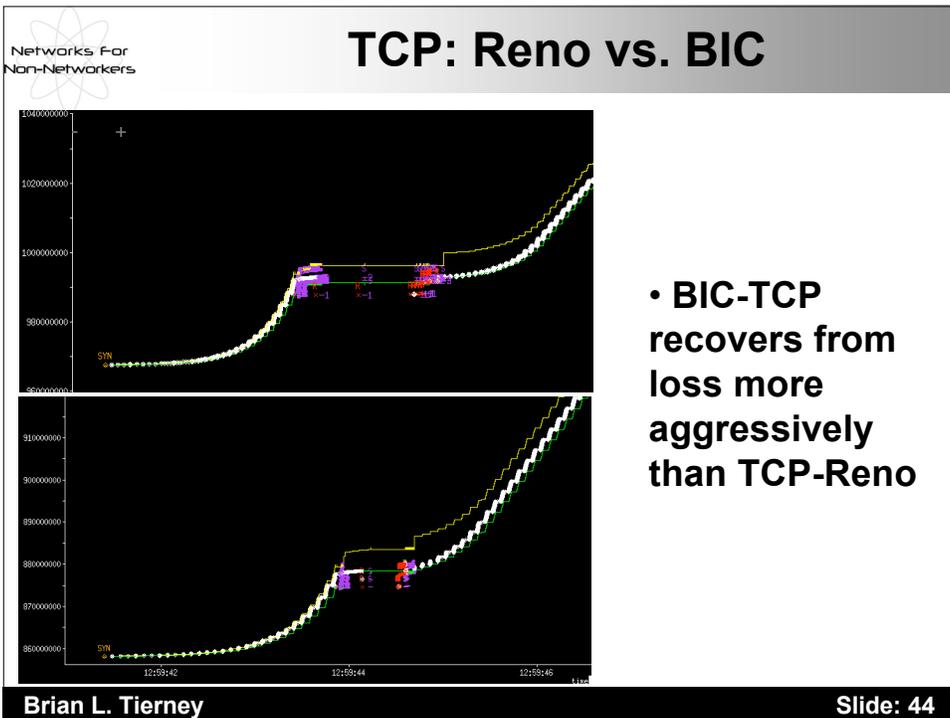
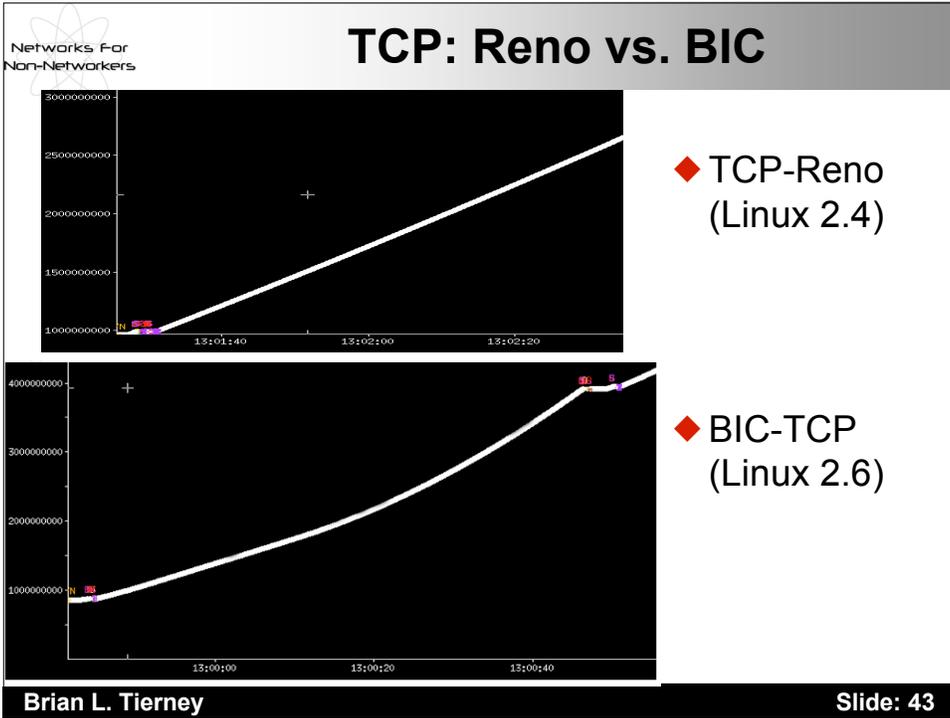


## Proposed TCP Modifications (cont.)

- ◆ XCP:
  - XCP rapidly converges on the optimal congestion window using a completely new router paradigm.
    - This makes it very difficult to deploy and test
  - <http://www.ana.lcs.mit.edu/dina/XCP/>
- ◆ FAST TCP:
  - <http://netlab.caltech.edu/FAST/>
- ◆ Each of these alternatives give roughly similar throughput
  - Vary mainly in “stability” and “friendliness” with other protocols
- ◆ Each of these require sender-side only modifications to standard TCP

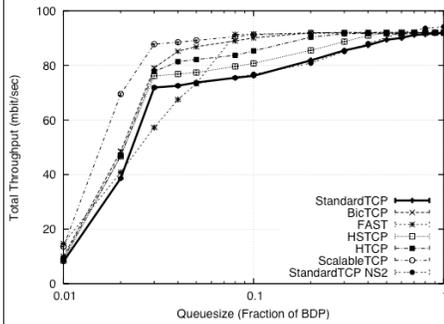
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Slide: 42



## Sample Results

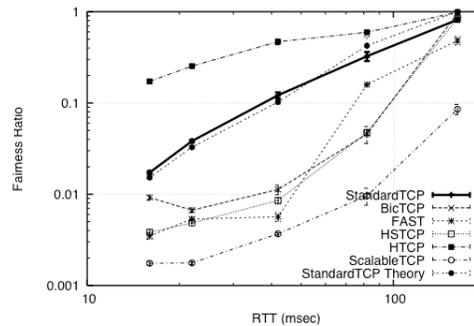
From Doug Leith, Hamilton Institute, <http://www.hamilton.ie/net/eval/>



Link Utilization

## Fairness Between Flows

Fairness with 250 Mbit/sec Bottleneck



## New Linux 2.6 changes

- ◆ Added receive buffer autotuning: adjust receive window based on RTT
  - `sysctl net.ipv4.tcp_moderate_rcvbuf`
  - Still need to increase max value: `net.ipv4.tcp_rmem`
- ◆ Starting in Linux 2.6.7 (and back-ported to 2.4.27), BIC TCP is part of the kernel, *and enabled by default*.
  - Bug found that caused performance problems under some circumstances, fixed in 2.6.11.
- ◆ Added ability to disable ssthresh caching (like web100)
 

```
net.ipv4.tcp_no_metrics_save = 1
```



## Linux 2.6 Issues

### ◆ "tcp segmentation offload" issue:

- Linux 2.6 (< 2.6.11) has bug with certain Gigabit and 10 Gig ethernet drivers and NICs that support "tcp segmentation offload",
  - These include Intel e1000 and ixgb drivers, Broadcom tg3, and the s2io 10 GigE drivers.
  - To fix this problem, use *ethtool* to disable segmentation offload:

```
ethtool -K eth0 tso off
```
- Bug fixed in Linux 2.6.12

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## Linux 2.6.12 Results

- BIC-TCP is ON by default in Linux 2.6
  - un-tuned results up to 100x faster!

| Path                       | Linux 2.4<br>Un-tuned | Linux 2.4<br>Hand-tuned | Linux 2.6<br>with BIC | Linux 2.6,<br>no BIC |
|----------------------------|-----------------------|-------------------------|-----------------------|----------------------|
| LBL to ORNL<br>RTT = 67 ms | 10 Mbps               | 300 Mbps                | 700 Mbps              | 500 Mbps             |
| LBL to PSC<br>RTT = 83 ms  | 8 Mbps                | 300 Mbps                | 830 Mbps              | 625 Mbps             |
| LBL to IE<br>RTT = 153 ms  | 4 Mbps                | 70 Mbps                 | 560 Mbps              | 140 Mbps             |

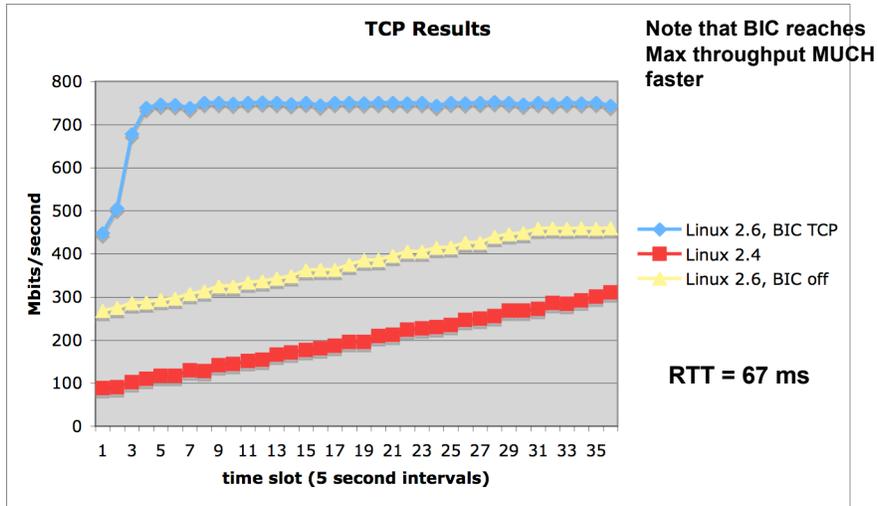
- Results = Peak Speed during 3 minute test
- Must increase default max TCP send/receive buffers
- Sending host = 2.8 GHz Intel Xeon with Intel e1000 NIC

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Slide: 48



# Linux 2.6.12-rc3 Results



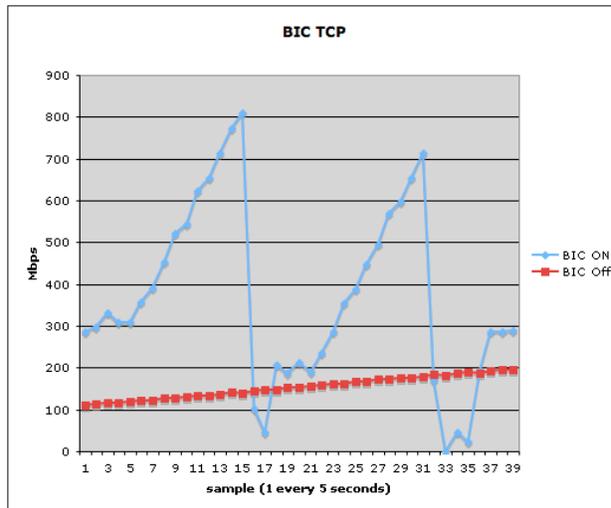
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Slide: 49



# Remaining Linux BIC Issues

◆ But: on some paths BIC still seems to have problems...



RTT = 83 ms

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Slide: 50



## Application Performance Issues

<http://gridmon.dl.ac.uk/nfn/>

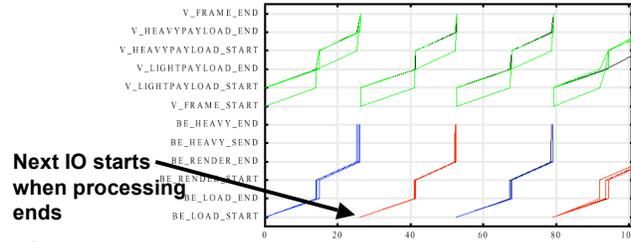


## Techniques to Achieve High Throughput over a WAN

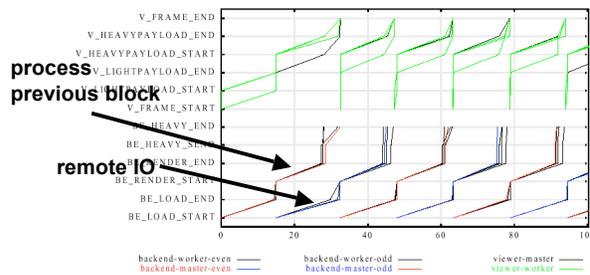
- ◆ Consider using multiple TCP sockets for the data stream
- ◆ Use a separate thread for each socket
- ◆ Keep the data pipeline full
  - use asynchronous I/O
    - overlap I/O and computation
  - read and write large amounts of data (> 1MB) at a time whenever possible
  - pre-fetch data whenever possible
- ◆ Avoid unnecessary data copies
  - manipulate pointers to data blocks instead

## Use Asynchronous I/O

- ◆ I/O followed by processing



- ◆ overlapped I/O and processing



almost a 2:1 speedup

## Throughput vs. Latency

- ◆ Most of the techniques we have discussed are designed to improve throughput
- ◆ Some of these might increase latency
  - with large TCP buffers, OS will buffer more data before sending it
- ◆ Goal of a distributed application programmer:
  - hide latency
- ◆ Some techniques to help decrease latency:
  - use separate control and data sockets
  - use TCP\_NODELAY option on control socket
    - combine control messages together into 1 larger message whenever possible on TCP\_NODELAY sockets



## scp Issues

- ◆ Don't use scp to copy large files!
  - scp has its own internal buffering/windowing that prevents it from ever being able to fill LFNs!
- ◆ Explanation of problem and openssh patch solution from PSC
  - <http://www.psc.edu/networking/projects/hpn-ssh/>

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Slide: 55



## Conclusions

- ◆ The wizard gap is starting to close (slowly)
  - If max TCP buffers are increased
- ◆ Tuning TCP is not easy!
  - no single solution fits all situations
    - need to be careful TCP buffers are not too big or too small
    - sometimes parallel streams help throughput, sometimes they hurt
  - Linux 2.6 helps a lot
- ◆ Design your network application to be as flexible as possible
  - make it easy for clients/users to set the TCP buffer sizes
  - make it possible to turn on/off parallel socket transfers
    - probably off by default
- ◆ Design your application for the future
  - even if your current WAN connection is only 45 Mbps (or less), some day it will be much higher, and these issues will become even more important

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Slide: 56



## For More Information

<http://dsd.lbl.gov/TCP-tuning/>

- links to all network tools mentioned here
- sample TCP buffer tuning code, etc.

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